

**APPLICATION FOR SPINAL SURGERY 2ND OPINION PHYSICIAN LIST**

For the Department of Industrial Relations  
Division of Workers' Compensation  
P. O. Box 8888  
San Francisco, CA 94128-8888

FOR OFFICE USE ONLY  
NO.:  
INPUT DATE:  
INPUT BY:

**BLOCK 1 FOR BOTH NEUROSURGEONS AND ORTHOPAEDISTS PLEASE TYPE OR PRINT LEGIBLY**

*Please list your primary location. DO NOT USE P. O. BOX. You may provide additional office addresses at which you may schedule appointments, on a separate sheet.*

LAST NAME	FIRST NAME	MI	JR/SR
BUSINESS ADDRESS	CITY	ZIP	+ 4
MAILING ADDRESS, if different from above	CITY	ZIP	+ 4
(AREA CODE) PHONE NO.	(AREA CODE) FAX NO.	CAL. PROFESSIONAL LICENSE NUMBER	EXPIRATION (MM/YY)

**BLOCK 2 ALL APPLICANTS**

MEDICAL SCHOOL			
CITY	STATE	DEGREE	YEAR COMPLETED

**ALL APPLICANTS are to furnish their board certification and current hospital privileges.**

PLEASE LIST:

Hospital/Facility	Location (City/State)	Type	From	To
Hospital/Facility	Location (City/State)	Type	From	To

**BLOCK 3 APPLICANT MUST MEET ONE OF THE FOLLOWING REQUIREMENTS**

	Yes	No
1) I am board certified in neurosurgery by the American Board of Neurological Surgery. . . . .	<input type="checkbox"/>	<input type="checkbox"/>
2) I am board certified in orthopaedics by the American Board of Orthopaedic Surgery. . . . .	<input type="checkbox"/>	<input type="checkbox"/>
3) I am board certified in orthopedics by the American Osteopathic Board of Orthopedic Surgery. . . . .	<input type="checkbox"/>	<input type="checkbox"/>
4) I am certified in neurosurgery by the American Osteopathic Board of Surgery. . . . .	<input type="checkbox"/>	<input type="checkbox"/>
Date of expiration of board certification _____		

**BLOCK 4 ALL APPLICANTS**

	Yes	No
1) Have you ever been formally disciplined by a State Medical Licensing Board? * If the answer is "Yes", please furnish full particulars on a separate sheet. . . . .	<input type="checkbox"/>	<input type="checkbox"/>
2) Is any accusation by any State medical licensing board currently pending against you? * If the answer is "Yes", please furnish full particulars on a separate sheet. . . . .	<input type="checkbox"/>	<input type="checkbox"/>

**BLOCK 4 (CONTINUED)**

Yes No

- 3) Do you currently have hospital privileges in spinal surgery? . . . . . ☐ ☐
- 3a) If the answer is NO, have you had privileges in spinal surgery in the past? . . . ☐ ☐
- 4) Have you ever been convicted of a crime?  
\* If the answer is YES, please furnish all particulars on a separate sheet. . . . . ☐ ☐
- 5) Have you ever applied to the Industrial Medical Council or Administrative Director to be a Qualified Medical Evaluator?  
\* If the answer is NO, please skip to Questions in BLOCK 5. . . . . ☐ ☐
- 6) If the Answer to Question 5 is YES: Has the Industrial Medical Council or the Administrative Director ever denied appointment for a reason other than for failing to pass the Qualified Medical Evaluator examination, informed you that it would deny appointment for a reason other than for failing to pass the Qualified Medical Evaluator examination, or filed a statement of issues in regard to your application for appointment?  
\* If the answer is YES, please furnish all particulars on a separate sheet. . . . . ☐ ☐
- 7) If the Answer to Question 5 is YES: Have you ever filed an application or official form with the Industrial Medical Council or Administrative Director which contained an untrue material statement? . . . . . ☐ ☐
- 8) If the Answer to Question 5 is YES: Have you ever been appointed as a Qualified Medical Evaluator? . . . . . ☐ ☐
- 9) If the Answer to Question 8 is YES: Has the Industrial Medical Council or the Administrative Director ever suspended or terminated your appointment as a Qualified Medical Evaluator, placed you on probation, filed an accusation against you, denied reappointment, informed you that it would deny reappointment, or filed a statement of issues in regard to your appointment or reappointment?  
\* If the answer is YES, please furnish all particulars on a separate sheet. . . . . ☐ ☐

**BLOCK 5 ALL APPLICANTS****Most recent hospital privileges in spinal surgery.**

Hospital/Facility

Date

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**BLOCK 6 ALL APPLICANTS**

Physicians may not serve in cases in which they have a material professional, familial or financial affiliation with any of the parties or companies involved. YOU are responsible for determining whether you have one of these affiliations in any particular case, and for recusing yourself, although the Administrative Director will attempt to screen out any cases in which a conflict of interest is apparent from the names of the parties involved. So that the Administrative Director can do this screening, please list the names of all companies with which you have a material professional, familial or financial affiliation, as defined in the Regulations.

**Workers' Compensation Insurance Companies**

1.	3.
2.	4.

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**BLOCK 6 (CONTINUED)****Workers' Compensation Third Party Administrators**

1.	3.
2.	4.

**Utilization Review Companies**

1.	3.
2.	4.

**Group Health Plans**

1.	3.
2.	4.

**Medical Group(s). (Please include the address(es) of the group)**

1.	3.
2.	4.

**Independent Practice Association(s). (Please include the address(es) of the association)**

1.	3.
2.	4.

**Hospitals or Ambulatory Surgery Centers. (Please include the address(es) of the facility)**

1.	3.
2.	4.

**Spinal Surgery Related Drugs, Devices, Procedures or Therapies.**

1.	3.
2.	4.

**\*\* PROVIDE ADDITIONAL SHEETS WHEN NECESSARY\*\***

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**BLOCK 7 ALL APPLICANTS - PLEASE CHECK:**

- 1) That your application is fully completed, dated and signed with an original signature.  
We will not accept faxed applications.
- 2) That all necessary documentation is attached:
  - ❖ A Copy of your current California Professional License.
  - ❖ A copy of your board certification(s).
  - ❖ Certification of your current hospital privileges.

**IMPORTANT: Your application for appointment as a Second Opinion Surgeon shall be returned if it is incomplete, and it must be submitted prior to obtaining your appointment.**

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**BLOCK 8      ALL APPLICANTS****License Status**

A. My license to practice medicine is active and is neither restricted nor encumbered by suspension, interim suspension or probation.

B. I agree to notify the Administrative Director if my license to practice medicine is placed on suspension, interim suspension, probation or is restricted by my licensing agency, or if any State Medical Licensing Board files an accusation against me.

**Verification**

I have used reasonable diligence in preparing and completing this application. I have reviewed this completed application and to the best of my knowledge the information contained herein and in the attached supporting documentation is true, correct and complete. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on  (MM/DD/YY) at  County CA  Applicant's Signature

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**A PUBLIC DOCUMENT**

PRIVACY NOTICE - The Information Practices Act of 1977 and the Federal Privacy Act require the Administrative Director to provide the following notice to individuals who are asked by a governmental entity to supply information for appointment as a second opinion surgeon.

The California Labor Code provides for neurosurgeons and orthopaedists to participate in the workers' compensation spinal surgery second opinion program. The Division of Workers' Compensation has adopted regulations which require applicants under this program to provide: name; business address, professional education, training, license number, board certifications, fellowships, conflicts of interest, and documents deemed necessary by the Administrative Director of the Division of Workers' Compensation. It is mandatory to furnish all the appropriate information requested by the Administrative Director. Failure to provide all the requested information may result in denial of the application.

The principal purpose for requesting information from neurosurgeons and orthopaedists is to administer the Spinal Surgery Second Opinion program within the California workers' compensation system. Additional information may be requested.

As authorized by law, information furnished on this form may be given to: you, upon request; the public, pursuant to the Public Records Act; a governmental entity, when required by state or federal law; to any person, pursuant to a subpoena or court order or pursuant to any other exception in Civil Code § 1798.24.

An individual has a right of access to records containing his/her personal information that are maintained by the Administrative Director. An individual may also amend, correct, or dispute information in such personal records. (Civil Code § 1798.34-1798.37)

Requests should be sent to:

Division of Workers' Compensation  
P.O. Box 8888  
San Francisco, CA 94128-8888  
Tel: (650) 737-2700; FAX: (650) 737-2711

You may request a copy of the Division of Workers' Compensation policy and procedures for inspection of records at the above address. Copies of the procedures and all records are ten cents (\$0.10) per page, payable in advance. (Civil Code § 1798.33)